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08/03/01
J1051 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.	970607A	Total Pages	
First Named Inventor or Application Identifier			
Shinichiroh IKEMASU Narumi OKAWA			
Express Mail Label No.			

Check Box, if applicable ☐ Duplicate

APPLICATION ELEMENTS FOR:

Highly Integrated and Reliable Dram And Its Manufacture

ADDRESS TO:

Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages [89]

3. ☒ Drawing(s) (35 USC 113) Total Sheets [36]

4. ☒ Oath or Declaration Total Pages [2]

☐ Newly executed (original)

☒ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).

i. ☐ Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement Verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment to **FUJITSU LIMITED, Kawasaki, Japan** in the prior Application Serial No. 08/876,908 filed
on June 16, 1997 has been recorded at Reel 8633, Frame 0829 on June 17, 1997. **Please publish the assignee data
with the application.**

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement with PTO-Form 1449 ☐ Copies of IDS Citations

12. ☒ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)

a. Priority of Japanese application no. 8-189424 filed on July 18, 1996 is claimed under 35 USC 119.

The certified copy has been filed in prior application Serial No. 08/876,908.

(For Continuing Applications, if applicable).

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

a. ☒ Please amend the specification by inserting after the title: - - This application is a division of prior application serial no. 08/876,908 filed June 16, 1997- -.

b. ☒ Cancel in this application original claims 20-61 of the prior application before calculating the filing fee.

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic Fee
The filing fee is calculated below				\$710.00
Total Claims	37 - 20	17	x \$18.00	306.00
Independent Claims	2 - 3		x \$80.00	
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				\$1,016.00

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☒ A check in the amount of \$_____ is enclosed to cover the filing fee of \$_____ and the assignment recordation fee of \$40.00.

☐ Please charge our Deposit Account No. 01-2340 in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

17. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: Stephen G. Adrian

Reg. No. 32,878

Signature

Date: 8-3-01

SGA/meu

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